



PRODUCT PROFILE

RMS Research Management System

Research Infrastructure that removes barriers for people, workflows, and AI



Turn research and data into a firmwide advantage

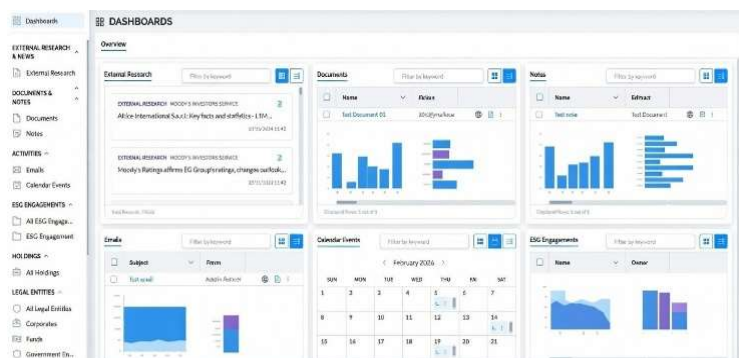
Castine's AI-powered Research Management System (RMS) brings external and internal research, proprietary knowledge, datasets, and investment workflows together in one secure platform, giving users faster access to trusted information, better insights. All while reducing data fragmentation.

One trusted view of research – maintained for your privacy

Users trust the information as it's presented unaltered and untracked. The research your brokers, IRPs, and internal teams generate isn't modified by an LLM and is shown "as is," but with the *assistance* of comprehensive searching and AI to augment it. Your processes are protected as no one else can see what you're researching, any AI prompts are confidential, and all entitlements are strictly enforced.

Castine connects all your external research (500 firms and counting), your Microsoft 365 content, and its comprehensive selection of referential data. All of that is accessible on the portal or through Castine's Global API for direct access. Both approaches reach the same trusted, unaltered information across every channel.

Content is organized around consistent companies, tags, securities and investment entities—making it faster to find and use with confidence.



The result? A unified hub and single source of truth that equips PMs and analysts with everything they need, accessible anytime, anywhere, via a feature-rich, AI-enabled platform to make smarter, faster, more confident decisions.



How does this help managers and analysts?

One searchable view of external and proprietary research, where content is delivered in its original form, results in faster and more accurate answers.

Castine-provided datasets and content	Client-managed datasets and content
- Sell-side and independent research - Regulatory filings and disclosures - Earnings-call transcripts and corporate events - Company and investor-relations content - Entity, instrument, country and sector reference data - Market data, estimates and consensus where licensed	- Analyst notes and investment memos - Internal documents, emails and attachments - Internal estimates and financial models - Ratings, recommendations and conviction scores - Interaction records, holdings and proprietary metrics - Other structured or unstructured information your firm maintains

Integration – not fragmentation

Rather than having to search different systems, Castine brings everything together in one desktop portal and one Global API. This extends to tagging and capturing emails, documents and attachments directly from Microsoft 365. This valuable insight becomes searchable, available for AI-powered queries, permission-controlled and reusable across the firm, with minimal disruption to existing workflows.

Designed around your firm’s workflow

Customizable fields adapt to the way each firm conducts research, supporting everything from ratings, recommendations, and conviction scores to estimates, engagement tracking, and proprietary measures. This flexibility allows clients to maintain their established investment processes while benefiting from enhanced automation, streamlined reporting, and stronger application support.

Secured from end-to-end - without creating silos

Castine protects your documents with the industry’s strongest security. Other firms and third parties never see your searches or the data you’re looking at: client-managed information remains private under your own permission structures. At the same time, provider licenses and subscriptions govern Castine-provided content. Full auditability and consistent entitlements apply across every channel.

All internal and external data, information, and insights: unified and contextualized

- Over 3 million global corporates, funds & legal entities spanning 45 million data points, unmatched depth and accuracy for aggregation and discovery.
- Over 6 million financial instruments across multiple asset classes, supercharged with comprehensive attributes (tickers, names, ISINs, FIGIs, primary exchanges, issuers, and more) turning “data overload” into trustworthy, connected intelligence.
- 600K+ corporate & funds hierarchies revealing parent-subsidary and group relationships, with intuitive drill-down navigation to explore parents, children, and related structures for deeper transparency and faster insights.

Access research through the channel that fits your firm

You can offer your teams both a desktop portal *and* API access to all of the research and data available through Castine. The same permissions and controls apply in every channel, whether it’s your internal content (via Microsoft 365) or the research and allied data Castine collects from external sources for you.

Castine RMS Desktop Access	Castine Global API
• Access Castine RMS from any web browser • Search and prompt against research • Ingest and tag content from Microsoft 365 • Administer users, providers and entitlements	• Power internal applications and data platforms • Automate research workflows and delivery • Search, retrieve and prompt against content for applications and AI • Track attributable usage and audit events

Flexibility is front and center

Choose the content and channels you need

- License Castine datasets individually and choose Castine RMS, Microsoft 365 integration, Castine Global API, or any combination. Start with what you need and expand on the same platform.

Maintain control wherever content is used

- Provider restrictions and your own permissions follow the content across Castine RMS, Microsoft 365 integration and the Castine Global API. Entitlements, auditability and usage reporting remain consistent, and your knowledge base stays private.

Support AI on your terms

- Search and submit prompts against entitled external and internal content, or deliver attributable source content to your chosen AI applications. Castine does not place an LLM between the firm and its research, use any of your activity for model training, or expose your knowledge base to third parties.

Connect research and commission management seamlessly

- Shared providers, users, entitlements and reference data link research activity with budgeting, voting and interactions management.

Budgeting Plan, allocate and monitor research budgets.	Provider voting Capture investment-team assessments.	Interactions management Capture and analyze provider interactions.
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Bring your research environment together

Book a personalized demonstration to see how Castine can simplify research management and support your data and AI strategy.

Better Research. Better Decisions. Better Performance.

About Castine

Castine has provided Cloud-based and in-house solutions to more than 50 brokers and 500 asset managers worldwide.

Modules include RMS, CSA and P&L-based commission management, research consumption and evaluation, budgeting and payments, and multi-asset class trade reporting. For brokers there are additional modules for CSAs and aggregation, compensation, and client and trader profitability and performance reporting.

New York, Lisbon, London, Paris, and Buenos Aires