

## Asset Manager Toolkit

Trade Reporting and CSA/P&L System for Asset Managers and Hedge Funds



Asset managers and hedge funds face significant challenges in managing their trading activities across multiple platforms, venues, and jurisdictions. Furthermore, the constantly evolving nature of the commission management market structure requires them to replace or upgrade their systems every 3-5 years, depending on the size of the firm and sophistication of their processes.

After two decades of developing advanced CSA, RPA, budgeting, voting, and other commission management systems, it has become overwhelmingly evident to Castine that Asset Managers and Hedge Funds require highly customizable solutions to seamlessly integrate with their existing workflows.

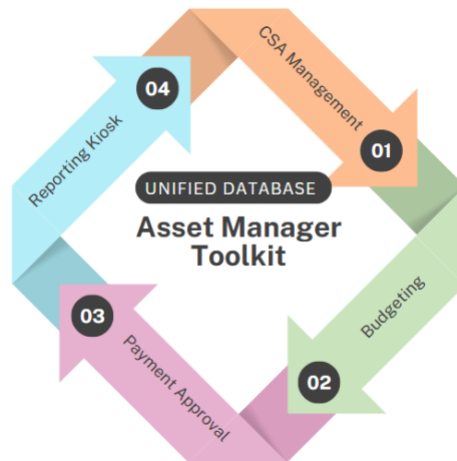
### **Asset Managers and Hedge Funds Cannot Survive Packaged Solutions**

Castine's Asset Manager Toolkit tackles this challenge by offering highly flexible tools that can be tailored to meet each client's unique requirements. The toolkit ensures adaptability for future changes, enabling firms to update their systems in response to new regulations while maintaining continuity with past practices. This approach provides a familiar yet modern solution for the future.

**One of the largest global asset managers recently called Castine's Asset Manager Toolkit a "game changer."** Instead of confining firms to a rigid, monolithic package, the Asset Manager Toolkit uses proven building blocks for each project component. These blocks can be combined in various ways to truly reflect the asset manager's specific needs, rather than forcing them to adapt to a vendor's predefined package.

**Pick what you need and know your future needs are covered as well.** You can start with any part of the Asset Manager Toolkit and expand as your needs change. If you need a simple vote, fine. If you want complete contract management with renewal warnings and agreement storage, no problem. If you want to view all your trading activity across all of your trading platforms and asset classes, absolutely! If you want the best commission management system with the ability to work with brokers individually or with an aggregator while still being in control of everything: that's where the Asset Manager Toolkit shines.





Each component module within the AM Toolkit can operate on its own or seamlessly in conjunction with other modules

- **Trade reporting Kiosk:** Review performance across all asset classes, venues, and order management systems without needing programmers, pivot tables, or complex data warehouses. It's a DIY solution, fully curated for accurate answers.
- **CSA Management:** Whether you work with a CSA aggregator or not, the Asset Manager Toolkit is a full service technology platform that empowers managers to fully control every aspect of the CSA workflow from trade reconciliation to the payments for investment research.
- **CSA or P&L Budgeting:** In addition to complete contract and renewal tracking, you can manage research budgets in a way that best reflects the value you get from brokers and third-party research providers. This can be done by firm, fund, or client, with a holdings engine linking client information to budgets.
- **Votes & Reviews:** Conduct multi-dimensional reviews, including top-level and hierarchical reviews, with or without interactions and analyst reviews. It includes value-weighted valuations and a scorecard builder.
- **Trade Unbundling:** Use pre-split CSA information or Castine's unbundling engine to get the most accurate view of balances across all brokers and aggregators. It offers unlimited OMS connectivity.
- **Reconciliation:** Streamline trade reconciliation with our multi-pass, multi-mapping, tolerance-tuned engine.
- **Compliance:** Perform due diligence for MiFID II, 28(e), cyber, KYC, KYV, employees, etc., with risk scoring. For more information on the *Asset Manager Toolkit*, or on each module, please contact Castine.

#### About Castine

Since 1982, Castine has provided cloud-based and in-house solutions to the financial industry. Our focus on commission management and research spans decades and helps asset managers, brokers, and investors worldwide.

Modules include CSA and P&L-based commission management, full RMS, research consumption and evaluation, budgeting and payments, and multi-asset class trade reporting. For brokers there are addition modules for CSAs and aggregation, compensation, and client and trader profitability and performance reporting.

**New York, Lisbon, London, Paris, and Buenos Aires.**